

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC § 371

SGU-0045

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/936418

INTERNATIONAL APPLICATION NO. ☐
PCT/GB00/00904

INTERNATIONAL FILING DATE
March 10, 2000

PRIORITY DATE CLAIMED
March 12, 1999

TITLE OF INVENTION

SINGLE WAVELENGTH OPTICAL TRANSCEIVER

APPLICANT(S) FOR DO/EO/US Robin Jeremy Richard MORRIS, Andrew George RICKMAN,
Stephen Warren GORTON and Stephen McCANN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1 ☒ This is a **FIRST** submission of items concerning a filing under 35 USC § 371 ☐
- 2 ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC § 371 ☐
- 3 ☐ This is an express request to begin national examination procedures (35 USC § 371(f)) ☐ The submission must include items (5), (6), (9) and (21) indicated below ☐
- 4 ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31) ☐
- 5 ☒ A copy of the International Application as filed (35 USC § 371(c)(2))
 - a ☒ is attached hereto (required only if not communicated by the International Bureau) ☐
 - b ☒ has been communicated by the International Bureau ☐
 - c ☐ is not required, as the application was filed in the United States Receiving Office (RO/US) ☐
- 6 ☐ An English language translation of the International Application as filed (35 USC § 371(c)(2)) ☐
 - a ☐ is attached hereto ☐
 - b ☐ has been previously submitted under 35 USC § 154(d)(4) ☐
- 7 ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3))
 - a ☐ are attached hereto (required only if not communicated by the International Bureau) ☐
 - b ☐ have been communicated by the International Bureau ☐
 - c ☐ have not been made; however, the time limit for making such amendments has NOT expired ☐
 - d ☐ have not been made and will not be made ☐
- 8 ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)) ☐
- 9 ☐ An oath or declaration of the inventor(s) (35 USC § 371(c)(4)) ☐
- 10 ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 USC § 371(c)(5)) ☐

Items 11 to 20 below concern document(s) or information included:

- 11 ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 ☐
- 12 ☐ An assignment document for recording ☐ A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included ☐
- 13 ☒ A **FIRST** preliminary amendment ☐
- 14 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment ☐
- 15 ☐ A substitute specification ☐
- 16 ☐ A change of power of attorney and/or address letter ☐
- 17 ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter² and 35 USC § 1821 - 1.825 ☐
- 18 ☐ A second copy of the published international application under 35 USC § 154(d)(4) ☐
- 19 ☐ A second copy of the English language translation of the international application under 35 USC § 154(d)(4) ☐
- 20 ☒ Other items or information: International Preliminary Examination Report
PCT Request

21 ☐ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ☐ \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ☐ \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ☐ \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ☐ \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ☐ \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

12 SEP 2001

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\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)) ☐

\$130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	22 - 20 =	2	x \$18.00	\$ 36.00
Independent claims	1 - 3 =	0	x \$80.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$1,026.00

☒ Applicant claims small entity status ☐ See 37 CFR 1.027 ☐ The fees indicated above are reduced by 1/2 ☐

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)) ☐

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)) ☐ The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) ☐ \$40.00 per property +

TOTAL FEES ENCLOSED =

Amount to be refunded:

charged:

a ☒ A check in the amount of \$ 1,026.00 to cover the above fees is enclosed ☐ (check #01152) any

b ☒ Please charge my Deposit Account No 16-0607 in the amount of \$ deficiency to cover the above fees ☐ A duplicate copy of this sheet is enclosed ☐

c ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No 16-0607 ☐ A duplicate copy of this sheet is enclosed ☐

d ☐ Fees are to be charged to a credit card ☐ **WARNING:** Information on this form may become public ☐ **Credit card information should not be included on this form** ☐ Provide credit card information and authorization on PTO-2038 ☐

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status ☐

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Daniel Y. J. Kim

NAME

36,186

REGISTRATION NUMBER

Docket No.: SGU-0045

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

Robin Jeremy Richard MORRIS, :
Andrew George RICKMAN, :
Stephen Warren GORTON :
and Stephen McCANN :

New U.S. National Phase of :
Intl. Appln. No. PCT/GB00/00904 :

International Filing Date: March 10, 2000 :
U.S. Natl. Phase Filing Date: September 12, 2001 :

For: SINGLE WAVELENGTH OPTICAL TRANSCEIVER

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Prior to initial examination on the merits, please amend the above-identified application as follows:

IN THE SPECIFICATION:

Prior to the first paragraph of the application at page 1, please insert the following paragraph:

This application claims priority to PCT/GB00/00904, filed March 10, 2000, published on September 21, 2000, Publication No. WO 00/55994 in the English language and which claimed priority to GB Patent Application No. 9905565.9, filed March 12, 1999.

New U.S. National Phase of
Intl. Appln. No. PCT/GB00/00904

Docket No. SGU-0045

IN THE CLAIMS:

- A. Please amend claims 7-8, 10, 12-14, 16-17, 19 and 22 as follows:

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Amended Claims With Mark-ups to Show Changes Made

7. (Amended) An optical transceiver as claimed in [any preceding] claim 1 in which the optical switching means can also be arranged to provide selected coupling ratios between the input-output means and the light source and the light receiver.

8. (Amended) An optical transceiver as claimed in [any preceding] claim 1 in which the light source comprises a light emitter.

10. (Amended) An optical transceiver as claimed in [claims 1 to 7] claim 1 in which the light source comprises a reflector arranged to reflect light received from a remote light source via the input-output means.

12. (Amended) An optical transceiver as claimed in [any preceding] claim 1 in which the light receiver comprises a photodiode.

13. (Amended) An optical transceiver as claimed in [any preceding] claim 1 in which the input-output means comprises a fibre connector for receiving an optical fibre providing the bi-directional optical transmission path.

14. (Amended) An optical transceiver as claimed in claim 13 [when dependent upon claim 5] in which the fibre connector is optically connected to a single port of the Mach-Zehnder interferometer.

16. (Amended) An optical transceiver as claimed in claim 13 [when dependent upon claim 5] in which the fibre connector is optically connected to two ports of the Mach-Zehnder interferometer via a Y junction.

17. (Amended) An optical transceiver as claimed in claim 13 [when dependent upon claim 5] in which two ports of the Mach-Zehnder interferometer are each connected to a fibre connector each for connecting, respectively, to first and second optical fibres, each fibre providing the bi-directional optical transmission means.

19. (Amended) A transceiver unit for receiving signals of more than one wavelength comprising a wavelength division multiplexer for separating the signals of different wavelengths and an optical transceiver as claimed in [any of claims 1 to 17] claim 1 connected to received signals of a first wavelength from the wavelength division multiplexer.

22. (Amended) A transceiver system as claimed in claim 21 connected to a plurality of transceiver units [as claimed in Claim 20], the central unit comprising a digital transceiver for communicating with the optical transceiver of each of the transceiver units and a further transmitter for transmitting signals to the further receivers of each of the transceiver units.

Clean Set of Amended Claims

7. (Amended) An optical transceiver as claimed in claim 1 in which the optical switching means can also be arranged to provide selected coupling ratios between the input-output means and the light source and the light receiver.

8. (Amended) An optical transceiver as claimed in claim 1 in which the light source comprises a light emitter.

10. (Amended) An optical transceiver as claimed in claim 1 in which the light source comprises a reflector arranged to reflect light received from a remote light source via the input-output means.

12. (Amended) An optical transceiver as claimed in claim 1 in which the light receiver comprises a photodiode.

13. (Amended) An optical transceiver as claimed in claim 1 in which the input-output means comprises a fibre connector for receiving an optical fibre providing the bi-directional optical transmission path.

14. (Amended) An optical transceiver as claimed in claim 13 in which the fibre connector is optically connected to a single port of the Mach-Zehnder interferometer.

16. (Amended) An optical transceiver as claimed in claim 13 in which the fibre connector is optically connected to two ports of the Mach-Zehnder interferometer via a Y junction.

17. (Amended) An optical transceiver as claimed in claim 13 in which two ports of the Mach-Zehnder interferometer are each connected to a fibre connector each for connecting, respectively, to first and second optical fibres, each fibre providing the bi-directional optical transmission means.

19. (Amended) A transceiver unit for receiving signals of more than one wavelength comprising a wavelength division multiplexer for separating the signals of different wavelengths and an optical transceiver as claimed in claim 1 connected to received signals of a first wavelength from the wavelength division multiplexer.

22. (Amended) A transceiver system as claimed in claim 21 connected to a plurality of transceiver units, the central unit comprising a digital transceiver for communicating with the optical transceiver of each of the transceiver units and a further transmitter for transmitting signals to the further receivers of each of the transceiver units.

New U.S. National Phase of
Intl. Appln. No. PCT/GB00/00904

Docket No. SGU-0045

REMARKS

Claims 1-22 are pending. Claims 7-8, 10, 12-14, 16-17, 19 and 22 have been amended to eliminate the multiple dependency. Prompt examination and allowance in due course are respectfully solicited.

Respectfully submitted,
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Date: September 12, 2001

SINGLE WAVELENGTH OPTICAL TRANSCEIVERFIELD OF THE INVENTION

This invention relates to an optical transceiver for transmitting and receiving signals of the same wavelength, i.e. a single wavelength transceiver. It also relates to a transceiver unit incorporating such an optical transceiver and to a transceiver system comprising a plurality of such transceiver units.

BACKGROUND ART

Multi-wavelength transceivers which transmit on one wavelength and receive on another wavelength are known. Transmission and reception may occur on a bi-directional optical transmission path but the signals can be separated due to their different wavelengths. Single wavelength transceivers in which transmission and reception occurs along a bi-directional optical transmission path are also known. Such devices comprise a light source and light receiver which are connected to the bi-directional optical transmission path via a fixed power splitter, such as a Y-junction or evanescent coupler. In such an arrangement, both the light source and light receiver are permanently connected to the bi-directional light transmission path so incoming signals are transmitted to both the light source and the light receiver so a compromise has to be reached in the design of the splitter between the output power of the transceiver and the responsivity of the receiver.

The present invention seeks to overcome or reduce this disadvantage of the prior art.

DISCLOSURE OF INVENTION

According to a first aspect of the present invention, there is provided an optical transceiver for transmitting and receiving optical signals of the same wavelength, the transceiver comprising a light source, a light receiver, and input - output means for receiving light from and transmitting light to a bi-directional

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optical transmission path, optical switching means being provided to selectively provide optical communication between the light source and the input-output means and between the input-output means and the light receiver.

According to a second aspect of the invention, there is provided a transceiver unit for receiving signals of more than one wavelength, the unit comprising a wavelength division multiplexer for separating the signals of different wavelengths and an optical transceiver as indicated above connected to receive signals of a first wavelength from the wavelength division multiplexer.

According to a further aspect of the invention, there is provided a transceiver system comprising a central unit connected to a plurality of such transceiver units and comprising a digital transceiver for communicating with the optical transceiver of each of the transceiver units. Each transceiver unit may also comprise a further receiver and the central unit a further transmitter for transmitting signals of a second wavelength to each of the transceiver units.

Preferred and optional features of the invention will be apparent from the following description and from the subsidiary claims of the specification.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will now be further described, merely by way of example, with reference to the accompanying drawings, in which:

Figure 1 is a schematic diagram of a first embodiment of a transceiver according to the present invention;

Figure 2 is a schematic diagram of a second embodiment of a transceiver according to the present invention;

Figure 3 and 4 are schematic diagrams showing two possible arrangements of components for forming the transceivers of Figures 1 and 2;

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Figure 5 is a schematic diagram illustrating an application of a modified form of a transceiver such as that shown in Figure 3;

Figure 6 is a schematic diagram of a p-i-n diode phase modulator used in a preferred embodiment of the invention; and

Figures 7 and 8 are schematic diagrams illustrating a further application of a transceiver according to the invention.

BEST MODE OF CARRYING OUT THE INVENTION

Figure 1 shows an integrated optical transceiver formed on a chip 1. The transceiver comprises a light source 2, such as a laser diode, a light receiver 3, such as a photodiode, and a fibre optic connector 4, for receiving an optical fibre 5 on the edge of the chip 1. The light source 2, light receiver 3 and fibre optic connector 4 are each connected to optical switching means 6 by waveguides integrated on the chip 1.

The optical switching means 6 is arranged to selectively provide optical communication between the optical fibre and the light source 2 or the light receiver 3. Figure 1 therefore represents switching means 6 in the form of a 2-way switch. The switching means 6 will be described further below with reference to Figures 3 and 6.

The transceiver is arranged to transmit and receive signals of the same wavelength over the optical fibre 5. The bi-directional signals transmitted over the fibre 5 may be compressed into data packets whereby input and output data can be allocated to specific time slots. By appropriate control of the switching means 6, the light source 2 can be connected to the optical fibre 5 during a transmission time slot and the light receiver 3 connected to the optical fibre 5 during a receiving time slot. It will be appreciated that the switching speed should be fast enough to ensure there is only a small dead-time between

transmit and receive time slots. By operating the switching means 6 in this way, it provides a low-loss path between the light source 2 and the fibre 5 and a low-loss path between the light receiver 3 and the fibre 5. The design compromise imposed by a passive coupler as used in the prior art is thus avoided and the optical losses associated therewith can be reduced or eliminated.

The optical switching means 6 comprises an actively controlled integrated optical switch. For relatively low data rate applications, the optical switch may comprise one or more thermal modulators but for faster data rate application, the optical switch is preferably based on one or more p-i-n diode phase modulators. Other forms of modulator may be used in the optical switch.

A p-i-n diode phase modulator formed on a silicon-on insulator chip is described in WO95/08787 and a particular embodiment thereof is shown in Figure 6. The p-i-n diode phase modulator comprises a rib waveguide 18 formed in the silicon layer 19 of a silicon-on-insulator chip. Figure 6 shows the insulating layer 20 of silicon dioxide which separates the silicon layer 19 from a silicon substrate 21. A p-doped region 22 is formed in the slab region of the silicon layer 19 on one side of the rib 18 and an n-doped region 23 is formed in the slab region on the other side of the rib 18. This embodiment of the p-i-n diode phase modulator functions in the manner described in WO95/08787, i.e. a potential applied across the p-doped and n-doped regions 22 and 23 causes charge carriers to be injected across the waveguide (as illustrated by the arrows in Figure 6). This changes the effective refractive index of the waveguide and thus changes the transmission characteristics of an optical mode (shown by dashed lines in Figure 6) in the waveguide. The device can thus be operated as a phase modulator.

One or more p-i-n diode phase modulators may be used in a variety of configurations to provide an optical switch and Figure 3 illustrates a four-port Mach-Zehnder interferometer comprising two pathways extending between two four-port evanescent couplers 7 and 8 (represented in the Figures by loops

between waveguides positioned in close proximity to each other) with a first p-i-n diode phase modulator 9 in one pathway and a second p-i-n diode phase modulator 10 in the other pathway. The functioning of such an interferometer is well known. By appropriate control of the phase modulator 9 and/or 10, the effective optical lengths of the two pathways can be controlled such that the Mach-Zehnder interferometer operates as a two-way switch connecting the fibre connector 4 either with the light source 2 or with the light receiver 3. In one state of the switch, the fibre connector 4 is connected to the light source 2 and a beam dump 17, if provided (see below) is connected to the light receiver and, in the second state of the switch, the fibre connector 4 is connected to the light receiver 3 and the beam dump 17 connected to the light source 2. It should be noted that only one of the phase modulators 9 or 10 need be used to operate the switch although both are preferably provided to allow either for more sophisticated control of the Mach-Zehnder interferometer or to provide a back-up should one of the diodes fail.

A beam dump or light absorber 17 is also shown in Figure 3 connected to the fourth port of the Mach-Zehnder interferometer to absorb any light signals received on this port. In an alternative arrangement, the beam dump may be replaced by a monitor photodiode 17 or other form of receiver for monitoring the output of the transceiver.

It should also be noted that an optical switch comprising a Mach-Zehnder interferometer as described above also enables the switch to be operated in a variety of ways. It may be controlled so as to operate as a simple two-way digital switch as described above or, if desired, the coupling ratios between two states of the switch can be varied continuously to provide analog operation. The coupling ratios between the arms of the junction can also be set at the required level or adjusted at the time of shipping, on installation, or in use, to compensate for temperature variations, changes in performance over time etc. and so allow the output power to be tuned or dynamic adjustments made to compensate for changes in the transmission paths. Thus, the device can be

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operated in different ways depending on the application and its requirements. This flexibility of operation thus provides for a more adaptable device and reduces costs by allowing a similar device to be used in different applications.

Figure 2 shows a similar arrangement to Figure 1 but with the light source comprising a reflector, e.g. a mirror 11, instead of an active light source. A transceiver such as that shown in Figure 2 may be one of many arranged to communicate with a central controller via the optical fibre 5. A transceiver may for instance, be provided in each user's home and one central controller provided per street or larger group of houses. In such applications, it is desirable to avoid the need for a laser diode in each transceiver. To achieve this, various arrangements have been proposed in which, during a transmit phase, light is received from a laser source situated in the central controller, via optical fibre, and is reflected from a mirror within the transceiver. The light reflected from this mirror is then modulated in order to provide the output signal of the transceiver. Opto-mechanical components, such as Fabry-Perot modulators with movable reflective components e.g. polysilicon diaphragms, have been proposed to reflect and modulate the light in such a transceiver. Such devices are relatively complex and are expensive to manufacture.

A transceiver such as that shown in Figure 2 is provided with a light receiver 3 which operates as described above and is provided with a mirror 11 which, during a transmit phase, reflects light received from a continuous wave source in a remote central controller via the optical fibre 5. Thus, when the optical switch 6 is set to connect the mirror 11 to the optical fibre 5, the mirror 11 acts as a light source reflecting light back into the fibre. However, in this mode, the optical switch 6 can also be used to modulate the outgoing light, i.e. the light reflected from the mirror 11 and transmitted to the optical fibre 5, by switching on and off the connection between the mirror and the optical fibre. This arrangement is thus a significant simplification of the prior art yet it is able to provide the required or improved operational features.

The arrangement shown in Figure 2 thus enables the transceiver to provide an output signal without the need for an active emitting device in the transceiver. An optical switch comprising one or more p-i-n diode modulators as described above can be used to provide the switching function and is sufficiently fast to modulate a narrow band return-path signal as typically required for data transmission from a home to a central controller, e.g. in the range of 10 - 150 Mbits/sec.

This type of application thus allows a high band width transmission downstream to a user with a moderate band width upstream to the central controller (which is sufficient for many applications). Another example of such an application is an in-flight entertainment system where each seat within an aircraft is provided with a transceiver for receiving multiple data and entertainment channels from a central controller within the aircraft and for communicating the user's inputs to the central controller.

The embodiment shown in Figure 2 thus has the benefit of lower manufacturing costs due to the absence of an active light emitter therein and lower maintenance costs as reliability problems which can arise with laser diodes are avoided. Problems associated with changes in the emitter's performance over time, and changes in performance with temperature are also avoided. And, as in the first embodiment, the optical switch 6 enables the transmission properties of the transmit path and receive path to be optimised during the respective time slots.

A light receiver 3 such as a photodiode also acts as a beam dump for absorbing any light received on that port of the Mach-Zehnder interferometer thus giving a good return path extinction ratio.

An optical switch employing one or more p-i-n diode phase modulators as described above can be constructed so as to switch sufficiently quickly such

that the dead time between transmit and receive time slots can be reduced to 50 nanoseconds or less, and preferably 10 nanoseconds or less.

Figure 4 shows an alternative arrangement to that shown in Figure 3. In this embodiment, an optical fibre (not shown) is connected via a fibre connector 4 to a waveguide 12 which leads to a Y-junction 13, the two arms of which form the two pathways described in relation to Figure 3. The light source 2 may be an active light source such as a laser diode or a passive light source such as a reflector.

Figure 5 shows another manner in which a transceiver similar to that of Figure 3 may be used. In this embodiment, the Mach-Zehnder interferometer has two input - output ports each of which is connected to a respective optical fibre 14, 15. Optical fibre 14 is coupled to a ring network 16 so as to transmit signals to the network in a clockwise direction around the network and receive signals therefrom travelling in a counter-clockwise direction around the network whereas optical fibre 15 is coupled to the ring network so as to transmit signals to and receive signals from the network in the opposite directions around the network.

Figures 7 and 8 illustrate the application of a transceiver such as that described above to a passive optical network (PON), e.g. between a multiplicity of home users and a central office.

Figure 7 shows a home unit 24 which is connected to receive and transmit signals over an optical fibre 25. The unit 24 preferably comprises an integrated device with each of the components integrated or mounted on the same chip. However, each component may comprise a separate chip and be connected to the other chips by optical fibres. The optical fibre 25 may carry signals of more than one wavelength, e.g. it may carry 1550 nm analog signals for cable television (CATV) channels and 1310 nm digital signals for input and output data from the home user. These signals are separated by a wavelength

division multiplexer (WDM) 26, such as a Mach-Zehnder interferometer with the 1550 nm signal directed along a waveguide or optical fibre 27 to a further receiver, such as CATV receiver 28, and the 1310 nm signal directed along a waveguide or optical fibre 29 to a single wavelength transceiver 30 such as that described above, e.g. as shown in Figure 1 or 2.

The arrangement shown in Figure 7, shows a single wavelength transceiver 30 similar to that of Figure 2. This comprises a light source 11 in the form of a mirror and a light receiver 3, each of which may be integrated on the chip with the remainder of the transceiver or provided off-chip and connected thereto by optical fibres. The light receiver 3 may comprise a photodiode which is sensitive to 1310 nm signals but not to 1550 nm signals.

Figure 8 is a schematic diagram showing the connection between a central office 31 and a plurality (n) of home units 32 connected thereto via a passive 1 x n splitter 33.

The central office 31 may comprise a 1550 nm CATV transmitter 34 and a 1310 nm digital transceiver 35 the outputs of which are multiplexed by a multiplexer/de-multiplexer 36 onto an optical fibre 37. The transmitter 34 and transceiver 35 provide signals for all n home units 32. The signal on optical fibre 37 is thus divided into n identical signals on n optical fibres 38 by the 1 x n splitter 33. Each optical fibre 38 corresponds to the fibre 25 shown in Figure 7 and each home unit 32 is similar to that shown in Figure 7.

It will be appreciated that the system shown in Figure 8 needs to be able to distinguish between the digital (1310 nm) signals received from or intended to be directed to each of the home units 32 and the 1550 nm CATV signals. This may be done, for instance, by time division multiplexing (TDM) or by sub-carrier modulation, e.g. by frequency modulation, with a different frequency used for communication with each home unit 32.

Other transmission and receiving means operating on a different wavelength to the digital transceiver may, of course, be used in place of the television transmitter and television receiver described above.

The embodiments described above comprise various optical components. These components are known in the art so will not be described further. However, it should be noted that the transceiver can be fabricated on a silicon-on-insulator chip using components described in the following publications/applications, the disclosure of which are incorporated herein:

WO95/08787 – describes integrated rib waveguides and a p-i-n diode modulator

WO97/42534 – describes a fibre connector for connecting an optical fibre to a silicon rib waveguide.

WO98/43676 – describes mounting an optical component such as a laser diode on a silicon-on-insulator chip.

WO98/35253 – describes mounting an optical component such as a photodiode on a silicon-on-insulator chip.

WO98/57205 - describes a beam dump

The reflector 11 can be in the form of a mirror fabricated in an integrated optical circuit by etching a recess within the chip with a reflective facet on one side of the recess, preferably coated with a reflective material such as aluminium or gold. Alternatively, the reflector may comprise a reflective waveguide grating that reflects light at the operating wavelength of the transceiver.

It should also be noted that although it is preferable for the light source and light receiver to be provided on the chip as described above, either or both of the

light source and light receiver may be provided off-chip and connected thereto by optical fibres connected by fibre optic connectors to the chip.

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CLAIMS

1. An optical transceiver for transmitting and receiving optical signals of the same wavelength, the transceiver comprising a light source, a light receiver and input – output means for receiving light from and transmitting light to a bi-directional optical transmission path, optical switching means being provided to selectively provide optical communication between the light source and the input-output means and between the input-output means and the light receiver.
2. An optical transceiver as claimed in claim 1 in which the optical switching means comprises an integrated optical switch.
3. An optical transceiver as claimed in claim 2 in which the optical switch comprises at least one phase modulator.
4. An optical transceiver as claimed in claim 3 in which the phase modulator comprises a p-i-n diode.
5. An optical transceiver as claimed in claim 4 in which the optical switch comprises a Mach-Zehnder interferometer.
6. An optical transceiver as claimed in claim 5 in which the Mach-Zehnder interferometer is a four-port interferometer, comprising two four-port couplers.
7. An optical transceiver as claimed in any preceding claim in which the optical switching means can also be arranged to provide selected coupling ratios between the input-output means and the light source and the light receiver.

8. An optical transceiver as claimed in any preceding claim in which the light source comprises a light emitter.
9. An optical transceiver as claimed in Claim 8 in which the light emitter is a laser diode.
10. An optical transceiver as claimed in claims 1 to 7 in which the light source comprises a reflector arranged to reflect light received from a remote light source via the input-output means.
11. An optical transceiver as claimed in claim 10 in which the optical switching means is also arranged to modulate light reflected from the reflector.
12. An optical transceiver as claimed in any preceding claim in which the light receiver comprises a photodiode.
13. An optical transceiver as claimed in any preceding claim in which the input-output means comprises a fibre connector for receiving an optical fibre providing the bi-directional optical transmission path.
14. An optical transceiver as claimed in claim 13 when dependent upon claim 5 in which the fibre connector is optically connected to a single port of the Mach-Zehnder interferometer.
15. An optical transceiver as claimed in claim 14 in which a further light receiver is connected to another port of the Mach-Zehnder interferometer to monitor the output of the transceiver.
16. An optical transceiver as claimed in claim 13 when dependent upon claim 5 in which the fibre connector is optically connected to two ports of the Mach-Zehnder interferometer via a Y junction.

17. An optical transceiver as claimed in claim 13 when dependent upon claim 5 in which two ports of the Mach-Zehnder interferometer are each connected to a fibre connector each for connecting, respectively, to first and second optical fibres, each fibre providing the bi-directional optical transmission means.
18. An optical transceiver as claimed in claim 17 when connected to the first and second optical fibres, the first optical fibre being coupled to a ring network so as to transmit signals to the network in a clockwise direction around the network and receive signals therefrom travelling in a counter-clockwise direction around the network and the second optical fibre being coupled to the ring network so as to transmit signals thereto and receive signals therefrom in the opposite directions to the first optical fibre.
19. A transceiver unit for receiving signals of more than one wavelength comprising a wavelength division multiplexer for separating the signals of different wavelengths and an optical transceiver as claimed in any of claims 1 to 17 connected to received signals of a first wavelength from the wavelength division multiplexer.
20. A transceiver unit as claimed in Claim 19 comprising a further receiver for receiving signals of a second wavelength from the wavelength division multiplexer.
21. A transceiver system comprising a central unit connected to a plurality of transceiver units as claimed in Claim 19, the central unit comprising a digital transceiver for communicating with the optical transceiver of each of the transceiver units.
22. A transceiver system as claimed in claim 21 connected to a plurality of transceiver units as claimed in Claim 20, the central unit comprising a digital transceiver for communicating with the optical transceiver of each of

the transceiver units and a further transmitter for transmitting signals to the further receivers of each of the transceiver units.

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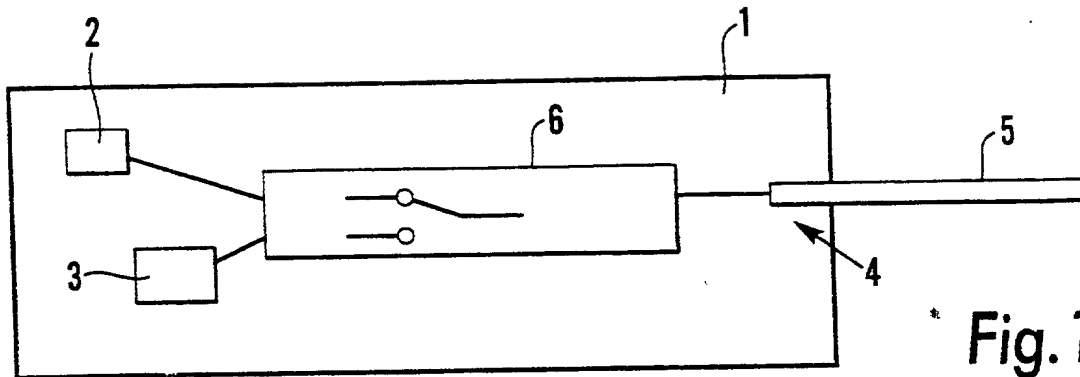


Fig. 1

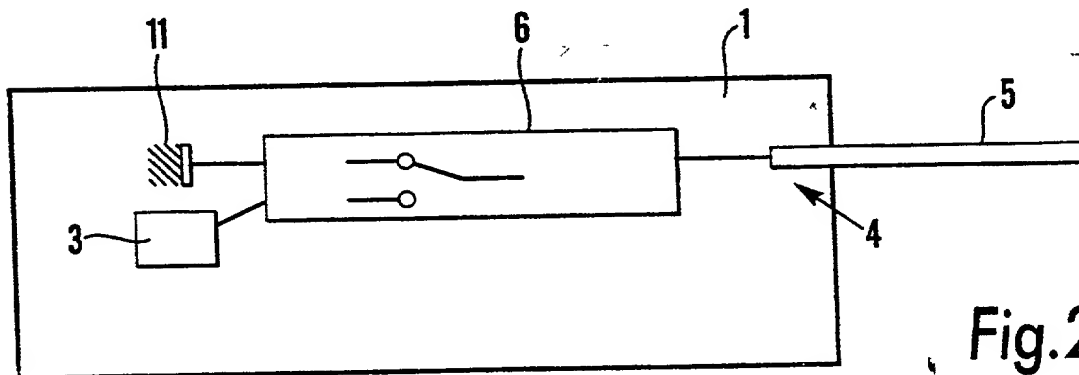


Fig. 2,

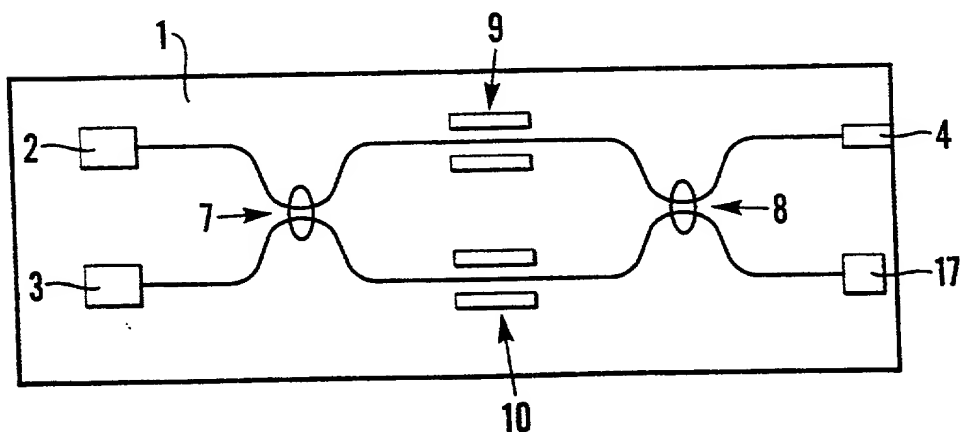


Fig. 3

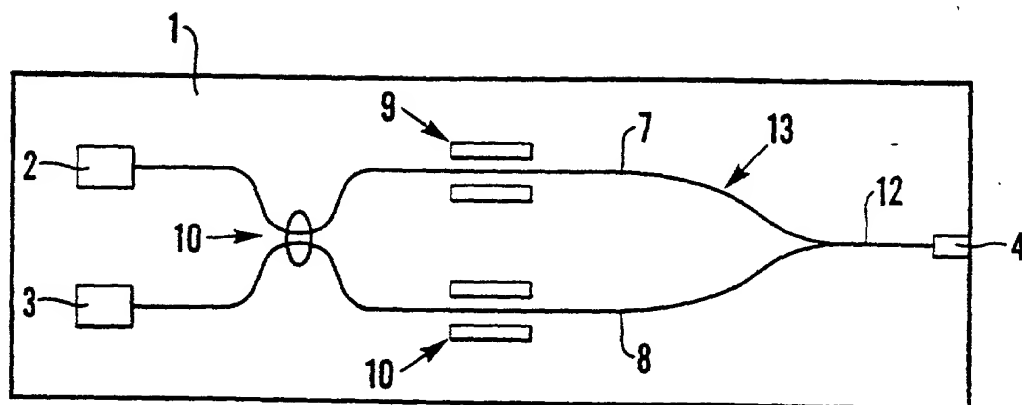


Fig. 4

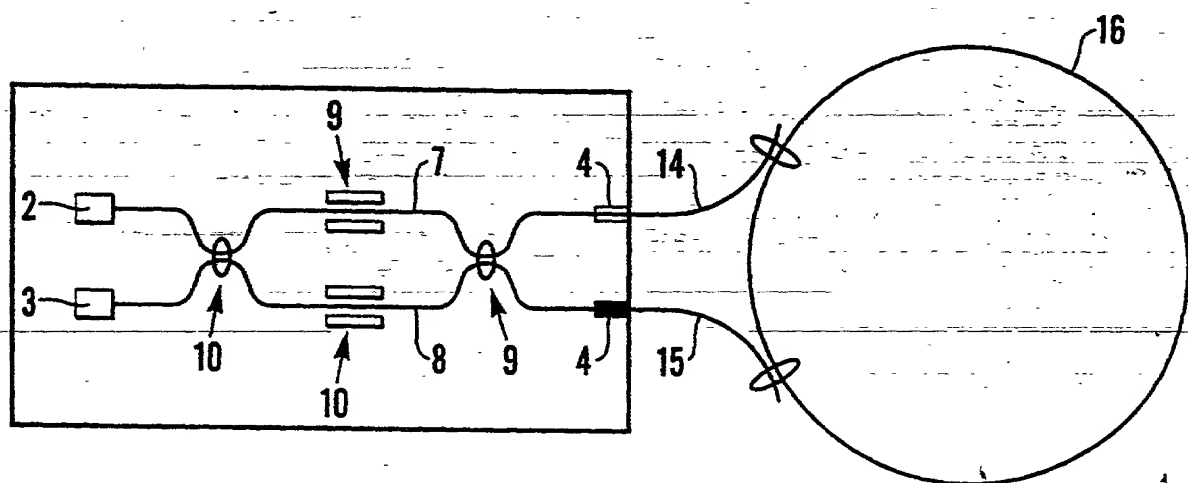


Fig. 5

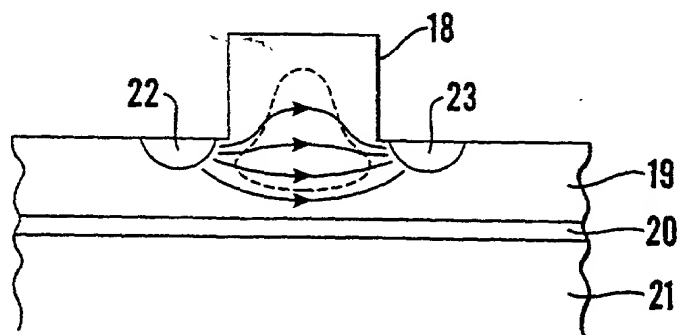


Fig. 6

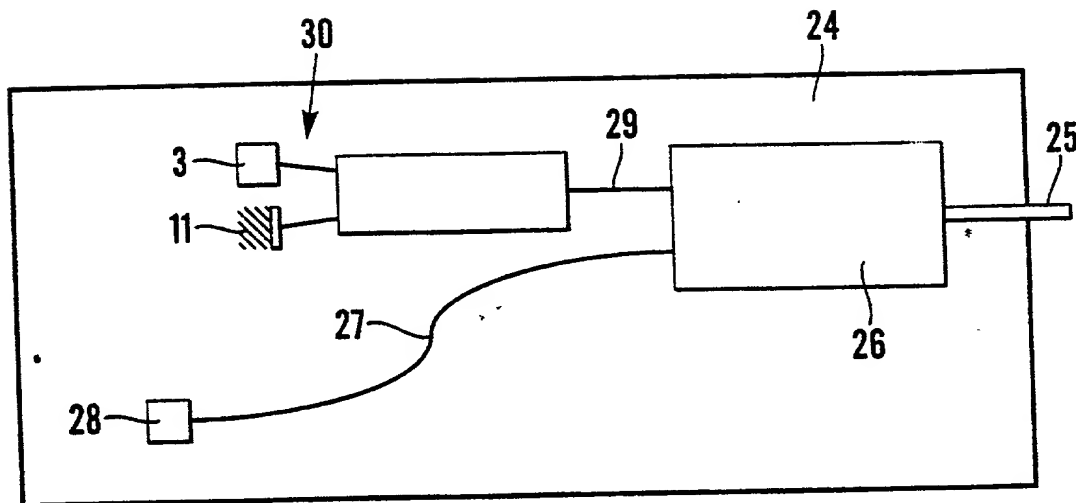


Fig. 7

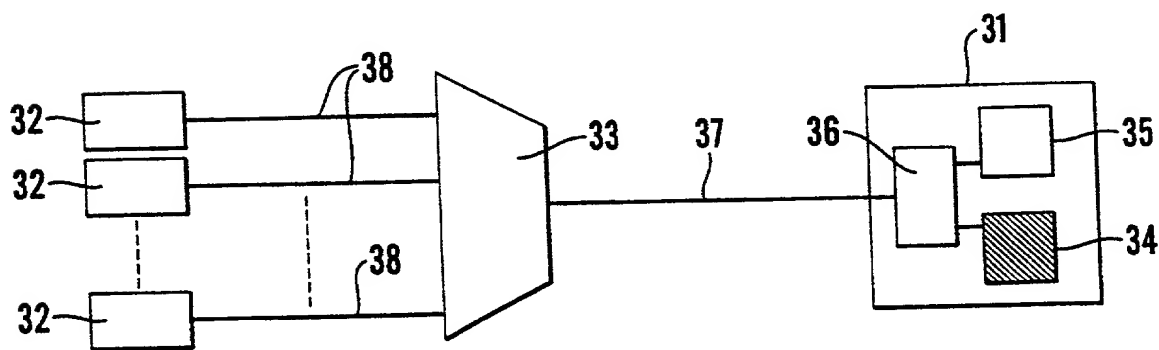


Fig. 8

Docket No.: _____

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled _____, the specification of which

[] is attached hereto [] was filed on _____ as Application Serial No. _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365 (b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Number

Country

Foreign Filing Date

Month/Day/Year

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s):

Filing Date (Month/Day/Year)

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

**Prior U. S. Application
or PCT Parent Number**

Filing Date (Month/Day/Year)

Parent Patent Number (if applicable)

PCT/GB00/00904

March 10 2000

GB9905565.9

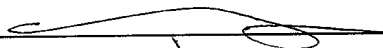
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the

6 like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.
I hereby appoint the following attorney(s) and/or agent(s): Daniel Y.J. Kim, Registration No. 36,186 and Mark L. Fleshner, Registration No. 34,596; Carl R. Wesolowski, Registration No. 40,372, John C. Eisenhart, Registration No. 38,128, Carol L. Druzick, Registration No. 40,287; and Anthony H. Nourse, Registration No. 46,121, all of

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with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future correspondence should be addressed to them.

1-00 *****
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Inventor's signature: 

Date: 17th September 2001

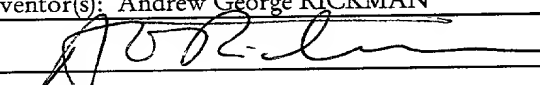
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Date: 7 Sept 01

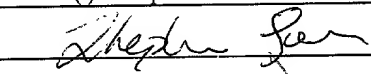
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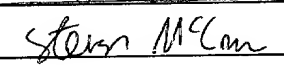
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